

United States Bankruptcy Court Southern District of New-York Lehmann Brothers Holdings Claims Center c/o Epiq Bankruptcy Solutions LLC FTR Station, P.O. Box 5076 New-York, NY 10150-5076 United States

Réf.: LO/LO44/331

Phone +41 32 723 63 31 Fax +41 32 723 64 18 Colombier, October 14, 2014

Dear Sir or Madam,

Enclosed we send you Evidences of Transfers of Claim Form.

Thank you for your attention.

Yours faithfully,

BANQUE CANTONALE NEUCHATELOISE

Christian Debrot Fondé de pouvoir

Resp. Trans. et

Opérations financières

Laurence Rusconi Mandataire commerciale Transactions et opérations

financières

B 210A (Form 210A) (12/09)

## UNITED STATES BANKRUPTCY COURT

NOV 1 7 2014

EPIO BANKRUPTCY SOLUTIONS, LLC

In re Lehman Holdings Inc. et al. Deb

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferor  Court Claim # (if known): 51605  Amount of Claim: EUR 60,000.00
Date Claim Filed:
Phone:
Last Four Digits of Acct. #:
NOV 2 4 2014  U.S. BANKRUPTCY COURT SO DIST OF NEW YORK  provided in this notice is true and correct to
Date: 10/14/2014
Date: 10/14/2014  Proposition of the Neuchâteloise  The Neuchâteloise

# AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM LEHMAN PROGRAM SECURITY

REŞU le

1 3 OCT. 2014

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, HSBC PRIVATE BANK (SUISSE) S.A., Geneva ("<u>Transferor</u>") unconditionally and irrevocably transferred to BANQUE CANTONALE NEUCHATELOISE, Neuchâtel ("<u>Transferee</u>") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 51605), the nominal amounts and relating securities with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "<u>Debtor</u>"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed this day of:

Geneva, October 7th, 2014

#### **Transferor**

HSBC PRIVATE BANK (SUISSE) S.A.

Quai des Bergues 9 - 17 / P.O. Box 2888

CH - 1211 Geneva 1

Signature(s):

Signature(s):

Stéphane Bernotti Associate

Schedule 1

### **Lehman Programs Securities Related to Transferred Portion of Claim:**

ISIN	Court Claim #	Date Claim Filed	Issuer	Currency and nominal amount or Units
XS0313327370	51605	28/10/2009	LEHMAN BROTHERS TREASURY BV	EUR 60'000

